

PHYSICAL PLANT: BATHROOMS, OUTSIDE SPACE AND BEDROOM										
COMMON BATHROOM: privacy, working sink, working toilet		820.4.a.-c.	20BA-20BE-20BI-20BO							
Toilet tissue	820.B.4.c.iii.	20BU		Soap in dispenser	820.4.c.iv	20CA		Lighting	820. B.4.c.vi.	20CI
Paper towels in dispenser or air hand dryer		820.B.4.c.v.	20CE		Window that opens or ventilation			820.B.4.c.vii.	20CO	
RESIDENT BATHROOM : 1 working toilet w/seat, 1 sink and 1 working bathtub/shower for every 8 residents							820.C.1.2.	20DU-20EA		
Bathroom provides privacy, mirror, toilet tissue, soap		820.C.3.a.-d.	20FJ-20EE-20EI-20EO							
Bathroom has paper towels in dispenser or mechanical air hand dryer				820.C.3.d.	20EU					
Window that opens or other means of ventilation		820.C.3.e.	20FA							
Grab bars for toilet, and bathtub or shower, if app., or nonporous and slip resistant surfaces in tubs and showers							820.C.3.f-g.	20FE-20FI		
OUTSIDE ACTIVITY SPACE on premises:		820.B.5.a.	20CU							
Hard-surfaced section for wheelchairs		820.B.5.b.	20DA							
Shaded area outside		820.B.5.d.	20DE							
BEDROOM SLEEPING AREA										
On ground floor unless resident is able to direct self-care; is ambulatory w/out assist				820.D.2.a.-b	20FU-20GA					
Sleeping area has 2 unobstructed, usable exits to outside that res can use				820.D.1.c.	20GE					
Not used as common area/passageway to common area, other sleeping area or common bathroom unless this way before 10/1/13, written consent obtained from the resident/rep.					820.D.4.a.b.	20GO-20GU				
Unimpeded access to door, floor to ceiling walls with 1 door, access to light to the outside, window or door to outside							820.D.4.c-f.	20HA		
80 SF private room, 60 SF in shared room		820.D.5.a-b.	20HU-20IA							
Door that opens into hallway, common area or outdoors			820.D.5.c.	20IE						
Bed, 36 wide, 72 inches in length, a frame and mattress that is clean and in good repair				820.D.7.a	20LU					
Clean linen, mattress pad, sheets, pillows, pillow cases, bedspread, waterproof mattress covers as needed, blankets							820.D.7.b	20MA		
Light for reading, storage space for clothing, individual storage space for personal effects				820.D.7.c-e	20ME-20MI-20MO					
Adjustable window covers that provide resident privacy		820.d.7.f.	20MU							
Bell, intercom , other, is accessible to resident – DC and PC			R9-10-814.E. and 815.E.1.	14CO-15CI						
Key to the door of a lockable bathroom, bedroom, residential unit is available to staff				820.B.7.	20DO					
Exterior doors with ramps or other devices to allow use by resident using a wheelchair or other assistive device							820.B.6.	20DI		
EMERGENCY SAFETY OBSERVATION: F9-10-818.										
Fire alarm system and sprinkler system installed according to NFPA 72, A.A.C. R9-1-412, and in working order, unless exception prior to 10/1/2013				818. E.1.2. & G.	18IU-18JA					
Fire extinguisher is labeled as rated 2A-10-BC by Underwriters Lab			818.F.1.2.	18HE						
Disposable fire extinguisher is replaced when indicator in red zone			818.F.2.	18HI						
Rechargeable fire extinguisher is serviced at least once every 12 months, and				818.F.3.a.	18HO					
Has a tag that specifies the date of last servicing, id of person who serviced it				818.F.3.b.	18HU					
Smoke detector each bedroom, hallway, storage room, laundry room, garage, adjoining room/hallway to kitchen							818.F.4.a.i.	18IA		
Is battery operated, or hard-wired into electrical system, has back-up battery				818.F.4.a.ii	18IA					
In working order		818.F.4.a.iii.	18IA							
No appliance, light or other device w/frayed or spliced electrical cord				818.F.5.						
No electrical or extension cord run under rug, carpet, over nail, or from room to room				818.F.6.	18IO					

MEDICATION STORAGE R9-10-816			
Medication is stored by the facility (if facility provides medication administration and assistance in self-administration)	816.B.1.C.1	16FA-16GO	
Drug Reference Guide and Toxicology Reference guide available – PDR.net or http://toxnet.nlm.nih.gov/	816.D.1.2.	16IO-16IU	
Medication stored in a separate locked room, closet, or self-contained unit, used only for medication storage	816.F.1.	16KA	
Stored according to instructions on medication container	816.F.2.	16KE	
Expired/discontinued meds disposed of per P & P's	816.F.3.a.	16KI	
Medication stored in resident's room or residential area is stored according to service plan, or the service plan is updated to include how the medication is being stored by the resident.	816.H.	16LI - 16LO	
Medication organizer filled by: resident, resident's representative, family member, HHA or hospice personnel, or designated manager or caregiver under direction of MP (B)(2)(b)	816.E.1-5.	16JU	
FOOD SERVICES:			
Therapeutic diet manual available for staff	817.B.1.2.	17CI	
Food free from spoilage, filth, contamination, protected from contamination	817. C.1.2.	17CU-17DA	
Food Establishment license or permit maintained, if contracts w/ food establishment	817.A.3.	17BE	
Foods requiring refrigeration are maintained at 41° F or below	817.C.4.a.	17EO	
Refrigerator thermometer accurate +/- 3°F, and placed at warmest part of refrigerator	817.C.5.	17GA	
Frozen foods stored at 0°F.	817.C.6.	17GE	
Tableware, utensils, equipment, and food-contact surfaces are clean and in good repair	817.C.7.	17GI	
Dining area sufficient space, tables and chairs	820.B.3.	20AU	
Menus: prepared 1 week in advance	817.A.1.a.	17AA	
Includes foods to be served daily	817.A.1.b.	17CA	
Conspicuously posted	817.A.1.c.	17AI	
Includes substitutions timely	817.A.1.d.	17AO	
Maintained for 60 calendar days	817.A.1.e.	17AU	
Meals and snacks served according to menus	817.A.2.	17BA	
Meals/snacks planned using guidelines at http://www.health.gov/dietaryguidelines/2010.asp	817.A.5.	17BO	
Observation			
Water available and accessible	817.A.7.	17CA	
Diet meets nutritional needs, specified in resident service plan	817.A.6.	17BU	
Residents provided assistance, use of adaptive equipment and utensils	817.A.8	17CE	
Food Prepared using methods to conserve nutritional value, flavor, and appearance	817.C.3.a.	17EE	
In a form to meet needs of resident, cut, chopped, ground, pureed, thickened	817.B.1.3.b.	17EI	
Food cooked to temp of 145° for 15 seconds, ground meats, pork, beef, raw shell eggs cooked to 155°- poultry, stuffed meats, leftovers to 165°F.	817. C.4.b.		
Today's Menu Posted:		Observation of Meals served:	
Breakfast:			
Lunch:			
Dinner:			

ACTIVITIES			
CG encourages residents to participate in activities planned according to subsection E.	808.C.1.f.	08FI	
Daily social, recreational, or rehab activities are planned according to resident preferences, needs and abilities	808.E.1.	08GU	
Equipment, supplies available, accessible to accommodate resident who chooses to participate in planned activity	808.E.3.	08HU	
TODAY'S CALENDAR ACTIVITIES:			
ACTIVITIES OBSERVED:			
AL CENTER RESIDENTIAL UNIT:			
220 sf for 1 res, add 100 sf for each add res in the unit w/ ind keyed door	820.D.6.a	20II	
Working toilet with seat, wkg sink with running water, wkg tub or shower, lighting, a mirror	820.D.6.c.	20IU-20JA-20JE-20JI-20JO	
Window or other ventilation, grab bars for toilet, and bathtub or shower, if app, nonporous/slip resistant surfaces in tubs/showers	820.D.6.c.vi-viii	20JU-20KA-20KE	
Resident controlled thermostat for heating and cooling	820.D.6.d.	20KI	
Kitchen: working sink and refrigerator	820.D.6.e.i.	20K0	
Cooking appliance that can be removed	820.D.6.e.ii.	20KU	
Space for food preparation	820.D.6.e.iii.	20LA	
Storage for utensils, supplies	820.D.6.e.iv.	20LE	
Armchair and table	820.D.6.f.i.-ii.	20LI-20LO	
Clean linen, fits, blankets	820.D.7.b.	20MA	
Sufficient lighting for reading	820.D.7.c.	20ME	
Storage space for clothing and personal storage space	820.D.7.d-e.	20MI-20MO	
Adjustable window covers	820.D.7.f.	20MU	
Exception to D.5. & D.6. SF, if operating before 11/1/1998, w/ more than 2 res in the residential unit or bedroom 60 SF pp bedroom, 100 SF pp resid unit	820.E.	20NI	
SWIMMING POOL: R9-10-819.B. And R9-10-820.F.			
On usage days, the water is tested and documented in a log, including testing date and results	819.B.1.	19FA-19FE	
Documentation maintained for 12 months	819.B.2.	19FI	
Unless exception before 10/1/2013, swimming pool is enclosed by a wall or fence that is 5 feet high on exterior of wall/fence	820.F.1.a	20NO	
No vertical openings > 4 inches	820.F.1.b.	20NU	
No horizontal openings, except F.1.e.	820.F.1.c.	20OA	
No chain link fence	820.F.1.d.	20OE	
No space between ground and bottom fence > 4 inches.	820.F.1.e.	20OI	
Self-closing, latching gate: opens away from pool, latch at least 54 inches from ground, Is locked when pool not in use	820.F.1.f.i.ii.	20OO	
A life preserver or shepherd's crook available, accessible	820.F.2.	20OU	
Pool safety requirements conspicuously posted in the swimming pool area	820.F.3.	20PA	
Spa is enclosed by wall or fence as in (F)(1), and is covered and locked when not in use	820.G.	20PE	

POLICIES AND PROCEDURES: R9-10-803			
Policies available to employees/volunteers, reviewed every 3 years	803.C.2.-3.	03HI-03HO	
Scope of Services	803.A.2.	03AE	
Designated Manager, in writing, 21yo, certified	803.A.3.	03AI-03AO	
Caregiver on premises, designated, in writing, who is 21 years old, and present when manager is not	R9-10-803.B.3.	03CP	
How caregiver's skills/knowledge verified	806.A.4.a.- b.	06CP, 06CI, 06CO	
Job Descriptions: Duties, Qualifications, Required Skills, Knowledge, Education, Experience	803.C.1.a, 806.A.4.b.	03CU, 06CO	
Orientation and In service education for Employees, Volunteers	803.C.1.b.	03DA	
Personnel complaints related to resident services: ARS Title 36, Chapter 4, Article 11: Patient Safety Reporting and Non-retaliatory Policies per below:	803.C.1.c.d.	03DE	
Procedure for reviewing reports made by healthcare professional, measures to maintain confidentiality of healthcare professional, non-Retaliatory Policy	803.C.1.d.	03DI	
CPR for adults	803.C.1.e. & M.	03DO-03PI	
First Aid Training	803.C.1.f.	03DU	
CG response to resident's sudden, intense, out of control behavior to prevent harm to resident or other individual	803.C.1.g.	03EA	
Staffing and recordkeeping	803.C.1.h. – 811.A.2.a.b.	03EE	
Resident acceptance	803.C.i.	03EI	Resident Rights
Termination of Residency by manager	803.C.1.j.i..	03EI	Termination by resident/rep
Provision of AL services includes; coordinating of services;	803.C.1.k.i.	03EO	
- Vaccinations for influenza and pneumonia available	803.C.1.k.ii.	03EU	
- Preferences for food and AL services	803.C.1.k.iii.	03FA	
Respite services or adult day health services, if app	803.C.1.l	03FE	
Medical records, incl. electronic records	803.C.1.n.	03FI	
Funds accounts managed, if app.	803.C.1.o. & G.2.	03FO	
Complaint: steps for resident to file, and facility to respond	803.C.1.p.i.-ii	03FU	
Health care directives	803.C.1.q.	03GA	
Medication: assistance in self-administration and med administration	803.C.1.r.	03GE	
Food services	803.C.1.s.	03GI	
Contracted services	803.C.1.t.	03GO	
Equipment inspection and maintenance	803.C.1.u.	03GU	
Infection Control	803.C.1.v	03HA	
Quality Management Program; incident reporting, supporting documentation	803.C.1.w.	03HE	
Refunding fees	807.D.6.	07DI	
Plan to ensure mgr or cg is available as backup if mgr/cg not avail to work	806.B.3.		
Process for checking on residents during night time hours - Directed Care	806.B.4.b.ii.	06FE	
Safety of wandering residents - Directed Care	815.F.1.	15CU	
Individuals authorized to make medical record entries	811.A.2.a.	11AE	
Individuals authorized to access medical record	811.4.a.	11BA	
Transport; transfer: CG coordinates transport and services	809.A.1.	09AA-09CA	
-Evaluation of resident before/after	809.A.2.a. & C.	09AE-09CE	
- Information from medical record provided to receiving agency	809.A.2.b. & C.	09AI-09CI	
- Documented communication with individual at receiving agency	809.A.3.a. & C.	09AO-09DA	
- Date, time of transport and CG accompanying the resident, if app	809.A.3.a.b. & C.	09AU-09DA, 09BA-09DI	
Screening and Triage of employees, visitors	R9-10-121.C.1.		
Manner/frequency of assessing residents to determine chg in condition	R9-121.C.2.		
Disinfection protocols/schedules for frequently touched surfaces	R9-10-121.C.3.		
Requirements for distancing res w/symptoms, from others	R9-10-121.C.4.		

MEDICATION SERVICES POLICIES: R9-10-816 .A. - Policies include the following:			
Procedures for:	Preventing, responding to, reporting medication errors, and	816.A.1.a.	16DE
	Unexpected reaction to medication	816.A.1.b.	16DE
	Ensure medication regimen/method of administration reviewed by MP to ensure regimen meets resident's needs	816.A.1.c	16DI
MAR:	Documenting medication administration and assistance in the self-administration of meds	816.A.1.d.i.	16DO
	Monitoring a resident who self-administers medication	816.A.1.d.ii	16DU
	Procedures for assisting resident in procuring medication	816.A.1.e.	16EA
	Providing med admin or assistance in self admin off premises, if app	816.A.1.f.	16EE
MEDICATION ADMINISTRATION POLICES: R9-10-816.B. 16FV			
	Policies reviewed and approved by MP, RN or Pharmacist	816.B.2.a.	16FE
	Include process for documenting individuals authorized to admin meds by a MP	816.B.2.b.	16FI
	Medication is administered only as prescribed	816.B.2.c.	16FO
	Resident refusal to take prescribed med, is documented in resident record	816.B.2.d.	16FU
	MP order for caregivers to admin medication	816.B.3.a.	16GA
	Meds administered in compliance with an order	816.B.3.b.	16GE
	Administration documented in medical record	816.B.3.c.	16GI
ASSISTANCE IN THE SELF-ADMINISTRATION OF MEDICATION POLICIES: R9-10-816.C.			
	Reminder given at time to take meds	816.C.2.a.	16GU
	Opens medication/organizer for resident	816.C.3.b.	16HA
	Verify med taken as ordered	816.C.3.c.	16HE
	Individual on label is individual taking med, is taking correct dosage at correct time as stated on medication label, or according to MP order dated later than the date on label	816.C.2.d.i.-iii.	16HI
	Resident is taking med from med organizer according to schedule specified on MP order	816.C.2.e.	16HO
	Staff observes res taking meds	816.C.2.f.	16HU
	Policies and procedures for assistance in the self-administration of medication are reviewed/approved by MP or nurse	816.C.3	16IA
	Medication organizer filled by: resident, resident's representative, family member, HHA or hospice personnel, or designated manager or caregiver under direction of MP (B)(2)(b)	816.E.1-5.	16JA – 16JU
MEDICATION STORAGE POLICIES: R9-10-816.F. 3. 16KA			
	Receiving medications	Storing medications	Inventorizing medications
			816.F.3.a. 16KI
	Tracking medications	Dispensing medications	Discarding, including expired meds
			816.F.3.a. 16KI
	Discarding or returning prepackaged and sample medications	816.F.3.b.	16KO
	Medication recall and notification of residents who received recalled medications	816.F.3.c.	16KU
	Storing, inventorizing, dispensing of controlled substances	816.F.3.d.	16LA
	Non-prescription medication not given to resident unless resident has an order	814.G. – 815.D.	14DO
OPIOID POLICIES: A.A.C.R9-10-120.F. 6520			
	Cover which personnel who may administer, required knowledge and qualifications	120.F.1.a.	6525
	Cover which personnel may provide assistance in the self-admin, req'd knowledge and qualifications	120.F.1.b.	6530
	Include how, when, and by whom a resident's need for opioid admin is assessed	120.F.1.c.	6535
	Include how, when and by whom a resident receiving an opioid is monitored	120.F.1.d.	6540
	Cover how, when, and by whom assessment and monitoring will be documented	120.F.1.e.	6550

EMERGENCY AND SAFETY STANDARDS: R9-10-818.E. ASSISTED LIVING CENTER			
A fire inspection is conducted by local fire department or State Fire Marshal before initial licensing, and according to time-frame established by local FD or SFM.	818.E.3.	18GO	
Repairs or corrections on the fire inspection report are made; and	818.E.4.	18GU	
Documentation of a current fire inspection is maintained	818.E.5.	18HA	
EMERGENCY AND SAFETY STANDARDS: R9-10-818.F. ASSISTED LIVING HOME			
Smoke detectors tested once a month	818.F.4.a.iv.	18IA	
Documentation maintained for 12 months	818.F.4.b.	18IE	
DISASTER PLAN: R9-10-818. (for employees) 18AP			
Maintained in location accessible to caregivers	818.A.1.	18aa	
Includes when, how, where residents will be located	818.A.1.a.	18AA	
How records will be available to individuals providing services to resident during disaster	818.A.1.b.	18AE	
Plan to ensure medications will be avail to administer during disaster	818.A.1.c.	18AI	
Plan for obtaining food and water at facility or at relocation site	818.A.1.d.	18AO	
Plan reviewed every 12 months	818.A.2.	18AU	
DISASTER PLAN REVIEWS INCLUDE: R9-10-818.A.3. 18BP			
Date and time of disaster plan review	818.A.3.a.	18BA	
Name of employees/volunteers participating in review	818.A.3.b.	18BE	
Critique of disaster plan review	818.A.3.c.	18BI	
Recommendations for improvement, if app.	818.A.3.d.	18BO	
Disaster Drills conducted on each shift once every three months and documented	818.A.4.	18BU	
EVACUATION DRILLS: R9-10-818.A. (for employees and residents)			
Conducted at least once every six months	818.A.5.a.	18CA	
Includes all persons on premises	818.A.5.b.	18CE	
-Exception: resident whose record has documentation that evacuation would cause harm	818.A.5.b.i.	18CE	
-Exception: Sufficient caregivers to ensure health/safety of residents not evacuated	818.A.5.b.ii.	18CI	
Drills documented, maintained for 12 months	818.A.6.	18DP	
Include date/time of drill	818.A.6.a.	18CO	
Time taken to evacuate facility	818.A.6.b.	18CU	
Names of residents needing assistance and names or residents not evacuated	818.A.6.c.i-ii.	18DA-A8DE	
Problems encountered, recommendations for improvement	818.A.6.d.e.	18DI-18DO	

QUALITY MANAGEMENT PLAN R9-10-803.A.4. (804) (Reports maintained for 12 months)			04AV
Includes method to:	a. Identify, document, evaluate incidents	804.1.a.	04AA
	b. collect data to evaluate services provided to residents	804.1.b.	04AE
	c. Evaluate data to identify concerns r/t resident care	804.1.c.	04AI
	d. Make changes/take action re: concerns	804.1.d.	04AO
	e. Frequency of submitting reports	804.1.e	04AU
Documented report submitted to governing authority includes: identification of concerns, action taken, changes made		804.2.a.b.	04BA and 04BE
Report and supporting documentation are maintained for 12 months		804.3	04BI
QM Plan reviewed and evaluated, for effectiveness, once every 12 months		803.A.5.	03BA
Quality Management Plan for OPIOID administration or assistance in self-administration: R9-10-120.F.2. 6555			
Review of incidents of opioid-related adverse reactions, negative outcomes experienced, opioid related deaths			120.F.2.a. 6560
Surveillance and monitoring of adherence to the policies and procedures in B.1. (pg 10)			120.F.2.b 6565
CONTRACTED SERVICES: R9-10-805			
1. Provided as required in Article 8		05AA	
2. Documentation of current contracted services maintained, includes description of services provided			05AE
Pest control program	819.A.2.	19AI	

MEDICAL RECORDS: R9-10-811			Name:		Date of Accept:	
Date of birth:		811.C.1.a.b.	11CI			
Names, addresses, telephone #'s of PCP, HHA, others involved in resident care			811.C.2.a.b - HHA 803.L.1.a	11CO-11CU-03OE		
Emergency contact for COC, or termination of residency		811.C.2.c.	11DA			
Resident consent for representative to act on resident's behalf or desig rep			811.C.3.a. - 815.A.	11DE-11DI - 15AA		
Authorization for services required by resident; continuous medical, continuous or intermittent nursing, or restraints, w/in 90 days prior to acceptance, dated, signed by MD, RNP, RN or PA				807.B. and 811. C.5.	07AJ-11DU	
HC POA, or CO of guardianship papers , if app		811. C.3.b.	11DI-15AA			
Freedom from TB (807.A.)	811. C.7.	07AA-11EE				
Influenza and pneumonia vaccination, resident/rep notified of availability			811.C.17.	11GU		
Therapeutic diet provided according to written order from PCP or MP			817.B.2.	17CO		
Copy of policy on HC directives provided		807.F.c.	07FO	HC directives , in record, if app.		811. C.8. 11EI
Entries by authorized individual , dated, legible, authenticated, not changed			811. A.2.a-c.	11AE		
HHA or Hospice information provided, f/u instructions		803.L.1.a.b.c.	03OE-03OI-03OO			
Resident Preferences	803.C.1.j.iii.	03FA				
Intake Assessment:						
RESIDENCY AGREEMENT: R9-10-807 - 07EF				Date Completed:		
Resident name, date of occupancy		807.D.1.2.a.	07CA-07CE			
Resident responsibilities, facility responsibilities		807.D.2.b.c.	07CI-07CO			
Services provided to resident by facility		807.D.3.	07CU			
Services at additional fee		807.D.4.	07DA			
Staff awake or asleep during night		807.D.5.	07DE			
Policy for refunding fees, charges, deposits		807.D.6.	07DI			
Terminate residency policy for resident, inc. for term for services not provided per SP			807.D.7.	07DO		
Terminate residency policy for facility		807.D.8. & 807.G.	07DU -07GA/E/F/U/O			
Complaint process		807. D.9.	07EA			
Manager's signature and date signed		807.D.10.	07EE			
Signed w/in 5 days by resident, rep, guardian or other designated person (811.C.9.)			807.E.1-4.	07EI-07EO- 07EU-07FA		
Original agreement in record		807.F.2.-811.C.9	07FA-111EO	Copy to res/rep	807.F.1.	07FE
SERVICE PLAN: R9-10-808 and R9-10-811.C.10.			08DP-11EU	Date(s) Completed:		
Level of Service:		808.A.3.b.	08BA			
Completed w/in 14 days of admission		808.A.1.	08AA			
Developed with assistance, review from resident, rep, manager, or individual requested by resident or rep			808.A.2.	08AE-08AJ		
Description of medical/health problems		808.A.3.a.	08AU			
Services: amount, type, frequency, incl. Medication Administration , assistance with self-admin of medication			808.A.3.c.	08BE		
Reviewed, signed, dated by nurse /MP for resident who req intermittent nursing svcs, med admin			808A.3.d – 808.A.5.c.	08B1-08DI		
Signed, dated by resident, rep		808.A.2.a. – 808.A.5.a.	08DA - 08AE			
Signed, dated by manager		808.A.2.b/ 808.A.5.b	08DE - 08AJ			
How medication stored/controlled, if resident storing medications in bedroom or residential unit			808. A.3.f.	08CA		
COC Reviewed/updated based on changes in A.3.a.-f., w/in 14		808. A.4.a – 811.C.22.	08CE – 11HU			
Service Plan updated: SC - 12 months, PC - 6 months, DC - 3 months		808. A.4.b.i-iii.	08CI-08CO-08CU			
ADL - CG provides services as doc in service plan, documents services		808.C.1.a.g.- 811.C.11	08E1-08FO – 11FA			
HHA or Hospice care instructions provided to facility		803.L.2.c.- .814.B.2.c	03PE – 14BO			

DETERMINATION by PCP/MP:		At time of acceptance or w/in 30 days of onset, reviews scope of service and examines resident, signed/dated					
Confined to bed/chair	814.B.2/815.B.1	14BJ-15AI		Wound care (stg 3-4)	814.C.-815.B.2.	14BU-15AO	
Behavioral care (only by MP)	812.1-3-811.C.20.	12AA-12AE-12AI-12AO-11HI					
Resident or representative authorization for continued residency		814.B.2.a/815.B.	14AU-15AI				
SERVICE PLAN: Personal Care: R9-10-814.B-F. and Directed Care: R9-10-815.B.C.							
Increased need for personal care services, bed/chair bound	814.B.2.c-815.B.1.	14BU-15AI					
Skin maintenance to prevent/treat bruises, injuries, pressure sores, infection	814.F.1 – 815.C.1.	14CU-15AU					
Offering sufficient fluids to maintain hydration	814.F.2 – 815.C.1.	14DA-15AU					
Incontinence care that ensures highest level of independence	814.F.3 – 815.C.1.	14DE-15AU					
Determination: (bedbound, wound) examined by PCP or MP, that needs can be met (adm after 10/13)				814.F.4. – 815.C.2.	14DI-15BA		
SERVICE PLAN: Directed Care: R9-10-815.C.							
Cognitive stimulation and activities	815.C.3.	15BE					
Strategies to ensure personal safety	815.C.4.	15BI					
Encouragement to eat meals and snacks	815.C.5.	15BO					
Weight documented or MP documents weighing is contraindicated	815.C.6.	15BU					
Coordination of communications with rep, family, and others identified in SP				815.C.7.	15CA		
Respite Care: SP based on resident's needs, w/in 3 working days of admission, or if SP w/in last year, reviewed, updated							
Significant change of condition, updated within 3 working days (A.3.a. – f.)					808.B.1.a.b.	08DU-08EA	
RESIDENT RIGHTS: R9-10-810. Resident or representative receives at time of admission: R9-10-807.F.1.b. 07FI							
Treated with dignity, respect...not subjected to abuse, neglect....				810.B.1.2.	10AA		
Policy on healthcare directives	810.B.3.a.ii	10CO					
Complaint process	810.B.3.a.ii.	10AA	Consent to photograph	810.B.3.b.	10AA		
Release of medical, financial information, written consent prior to release, if app.			810.B.3.c.	10AA			
May request or consent to relocation within facility		810.B.3.d.	10AA				
Access to records during normal business hours		810.B.3.e.	10AA				
Informed of rates, charges before services initiated, change in rates, charges, change in services 30 days before					10AA		
Resident rights	810.C.1-10	10AA					
Orientation to exits, and evacuation route		818.B.1-2 and 811.C.18.	18EA & 11HA				
Directed Care; information r/t safety, wandering, exits		811.C.21.	11HO				
MEDICATIONS: R9-10-811.C and 816.							
Medication orders for each medication		811.C.12.	11FE				
MAR: med admin or assist w/self-admin; date, time, name, strength, dosage, route				811.C.13.a.b.	11FI & 11FO		
Name, signature of individual administering or providing assistance in self-administration				811.C.13.c.	11FU		
Unexpected reaction, refusal of medication, if app.		8.11.C.13.d.- 14.	11GA-11GE				
Order for non-prescription med for directed care res		815.D.	15CE				
MP order for CG to admin meds	816.B.3.a.	16GA	Med admin doc on MAR	816.B.3.c.	16GJ		
MP order for mediset to be filled by manager/caregiver		816.E.5.	16JU				
Med error or unexpected reaction reported to MD		816.G.	16LE				
Verbal orders, written order verifying verbal order from MP w/in 14 caL days after rec verbal order				816.A.2.b.c.	16EO-16EU		
OPIOIDS: Documentation of need , monitor response, document both			R9-10-120.E.4.a.- c.i-ii.	2322-2324-2326			
Other required documentation							
Documentation of actions taken to control sudden, intense, or out of control behavior				811.C.15.	11GI		
General and informed consent, if app.		811.C.6.	11EA				
Financial affairs – notification if resident unable to handle (803.G.)		811.C.23.	11IA				
Written notice of termination of residency or date resident terminated residency		811.C.24.	11IE				
Notification of emergency contact and PCP of accident, emergency or incident			R9-10-818.D.1.	18EO			
Accident, emerg, injury documented	818.D.2.a-f.	18EU-FA-FE-FI-FO-FU	CG documents incid r/t wandering	818.D.3	15DO		

PERSONNEL RECORDS: R9-10-806.C		TAG	E1	E2	E3	E4	E5
Employee Name	C.1.a.	06FI					
Hire Date, Termination Date	C.1.b.						
Job Title							
Freedom from TB	A.7. C.1.c.vi.	06DO 06GU					
CPR Training before providing services	803.C.1.e, 806.A.9, and C.1.c.vii	06HA 06EA					
FIRST AID Training	803.C.1.f, 806.A.9, and C.1.c.viii	06HE 06EA					
FINGERPRINT CLEARANCE	C.1.c.ix. 803.A.9.	03BU 06HI					
Manager License in file	803.3.b.	03AO					
Employee License or certification in file	C.1.c.iv.	06GI					
Caregiver training program completed	A.1.b.i. C.1.c.i.	06AE 06FU					
Date of Birth/Age – CG is 18, ACG is 16 Telephone Number	A.1.a -2.a C.1.a	06FI					
Documentation of staff qualifications, skills, knowledge app to job duties	C.1.c.i.	06FU					
Education/experience app to job duties	C.1.c.ii.	06GA					
Orientation, specific to duties, before providing services to residents	A.8. C.1.c.iii.	06DU 06GE					
In-Service education	C.1.C.iii.	06GE					
CG, ACG skills, knowledge verified and documented prior to providing services	A.4.a.b. 808.C.1.b	06CP 08EO					
Supervisory Care: employed before 1998	A.1.b.ii	06AI0					
Assistant CG under direct supervision	A.2.b.	06BE					
Qualif, skills, knowledge based on services provided, accord to job description, acuity	A.3.a.i-ii.	06BI 06BO					
Qualif to provide services in scope of services, meet resident needs, ensure health/safety	A.5.a.b.c	06CU DA, DE					
FP and TB for other person in home > 12	A.7. B.1.2.	06DO DI, EO					
Clinical oversight, for BHT	C.1.c.v.	06GO					
Files maintained 24 months after term.	C.2.b.	06HU					
Designated manager, 21 years, certificate, reads, writes, communicates in English	803. A.3. A.8	03AI 03BO					
Designated CG, 21 years old, present at facility when manager is not present	803.B.3.a - b.	03CP					
Job Description							
Caregiver Schedules documented /maintained 12 months, hours worked by each					R9-10-806.A.7.		

PERSONNEL RECORDS: R9-10-806.C		TAG	E6	E7	E8	E9	E10
Employee Name		C.1.a.	06FI				
Hire Date, Termination Date		C.1.b.	C.1.b.				
Job Title							
Freedom from TB		A.7. C.1.c.vi.	06DO 06GU				
CPR Training before providing services	803.C.1.e, 806.A.9, and C.1.c.vii		06HA 06EA				
FIRST AID Training	803.C.1.f, 806.A.9, and C.1.c.viii		06HE 06EA				
Fingerprint clearance	C.1.c.ix. 803.A.9.		03BU 06HI				
Manager License in file	803.3.b.		03AO				
Employee License or certification in file	C.1.c.iv.		06GI				
Caregiver training program completed	A.1.b.i. C.1.c.i.		06AE 06FU				
Date of Birth/Age – CG is 18, ACG is 16 Telephone Number	A.1.a -2.a C.1.a		06FI				
Documentation of staff qualifications, skills, knowledge app to job duties	C.1.c.i.		06FU				
Education/experience app to job duties	C.1.c.ii.		06GA				
Orientation, specific to duties, before providing services to residents	A.8. C.1.c.iii.		06DU 06GE				
In-Service education	C.1.C.iii.		06GE				
CG, ACG skills, knowledge verified and documented prior to providing services	A.4.a.b. 808.C.1.b		06CP 08EO				
Supervisory Care: employed before 1998	A.1.b.ii		06AI0				
Assistant CG under direct supervision	A.2.b.		06BE				
Qualif, skills, knowledge based on services provided, accord to job description, acuity	A.3.a.i-ii.		06BI 06BO				
Qualif to provide services in scope of services, meet resident needs, ensure health/safety	A.5.a.b.c		06CU DA, DE				
FP and TB for other person in home > 12	A.7. B.1.2.		06DO DI, EO				
Clinical oversight, for BHT	C.1.c.v.		06GO				
Files maintained 24 months after term.	C.2.b.		06HU				
Designated manager, 21 years, certificate, reads, writes, communicates in English	803. A.3. A.8		03AI 03BO				
Designated CG, 21 years old, present at facility when manager is not present	803.B.3.a - b.		03CP				
Job Description							